

Institute for Development of Freedom of Information (IDFI)

# Assessment of the Openness of State Archives

Country: Lithuania

## Archival Institution: Lithuanian Special Archives

Evaluator: Vytautas Starikovičius, Vilnius University, Department of History

The project was funded by the Open Society Institute Budapest Foundation (OSI) and has been implemented by a Georgia-based civil society organization Institute for Development of Freedom of Information (IDFI)

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#### Methodology for Evaluation of Openness of State Archives (OSA)

#### Introduction

The public has the right of access to archives of public bodies. Openness of archives is not only an issue related to interests of historians or specialists, but an issue of access to information, which in itself is a human right. The OSA Methodology is envisioned to be universal with the goal of assessing the standards of access to archives, identifying the strengths and weaknesses of the legal frameworks and their enforcement firstly in post-soviet space and then around the globe.

#### Structure and Logic

The Methodology is composed of 86 indicators, each of which granted different weight and index of social importance.

The Methodology covers all the major components of openness of state archives: from the legislative framework, to access to archival files in the reading room and via online sources, and freedom of access to archival files/records and relevant databases (online sources, catalogues, inventories, etc.).

The selection of indicators for the Methodology was largely based on the principles of access to archives adopted by the International Council on Archives (ICA) that elaborated the following general principles:

- Archives and archivists should promote the widest possible access to archival materials and provide an impartial service to all users.
- Archives and archivists should respect both access to information and privacy, and act within the boundaries of relevant legislation.
- Institutions holding the archival fonds ensure that restrictions on access are clear and of stated duration, are based on pertinent legislation, acknowledge the right of privacy, and respect the rights of owners of private materials.
- The access rules apply equally to all individuals without discrimination. When a closed file is reviewed and access to it is granted to a member of the general public, the file is available to all other members of the public under the same terms and conditions.

Various best practices were also reviewed: the analytical report "Open Access to KGB Archives: Ukraine's Experience for Eastern Partnership Countries" ("Открытый доступ к архивам КГБ: Украинский опыт для стран восточного партнерства"), a guide-book "Right for the Truth" ("Право на правду"), as well as other best practices and adopted documents. We also analyzed all the archival and other relevant laws in each country to be evaluated.

The documents and standards mentioned above do not contain methodologies or indicators for evaluating archives; this we elaborated through cooperation with our partners. **The resulting methodology is unique by its essence.** All the indicators have been properly referenced.

During the indicator selection and elaboration process, an effort was made to ensure that our Methodology could be used for all state archives in the target states. The Methodology and its indicators were elaborated with the active participation of the organizations and independent experts, who have the most expertise and experience in given area.

The indicators are divided into five groups (benchmark indicators) that represent the key characteristics of a well-functioning system of open access to the state archives in post-soviet countries. These groups are:

- Homogeneity of the legislative framework:
   1.1 General archival legislation;
   1.2 Other legislation referring to FOI and archives;
   1.3 Archive services;
- 2. Website: Archive websites and distant and online services;
- 3. Reading room: Services and procedures for the researchers physically working in the archives.

#### Limitations

Access to archives varies significantly by country. The OSA Methodology is intended to be applicable on a global scale, meaning that the indicators cannot be too specific and cannot cover all the possible variations and exceptions.

## About the Project

The Methodology has been developed within the framework of the project - <u>Enhancing Openness</u> of State Archives in Former Soviet Republics.

The project was funded by the Open Society Institute Budapest Foundation (OSI) and has been implemented by a Georgia-based civil society organization <u>Institute for Development of Freedom of</u> <u>Information (IDFI)</u> on its initial stage together with the nine partner organizations or/and individual experts from each country in the Eurasian region covered by the project:

- Armenia, Hranush Kharatyan Historian, archivist. Has worked as a head of archives direction of NGO Hazarashen - Armenian Center for Ethnological Studies. At the moment is working on the publication of the book of memory of deportation of Armenians in 1949 in cooperation with the National Archives of Armenia.
- Azerbaijan, Eldar Zeynalov Human rights activist and journalist. Has experience of working in the Archive of Political Documents of Azerbaijan (former united Azerbaijan Communist party archive). Founding director of NGO Human Rights Center of Azerbaijan.
- Belarus, Dmitriy Drozd Historian, archivist, publicist, photographer (the member of the Union of Photographers of Belarus). Researcher of the Belarusian Documentation Center. Author of six books and hundreds of articles. In 2016 won human rights award in the Journalist of the Year nomination.
- Bulgaria, Momchil Metodiev Editor in Chief of the Christianity and Culture Journal and Research Fellow at the Institute for Studies of the Recent Past, Sofia, Bulgaria. He took part in the compilation of several documentary collections published by the Bulgarian Dossier Commission and has worked for several Bulgarian and international projects researching the communist past.

- Czech Republic, Pavel Zacek MP of the Czech Republic and the Lecturer at CEVRO Institute. He served as the first Director of the Czech government agency and research Institute for the Study of Totalitarian Regimes, investigating the crimes of the Communist regime of Czechoslovakia.
- Georgia, Anton Vatcharadze Archives and Soviet Studies Direction Head of the Institute for Development of Freedom of Information (IDFI) – Organization based in Tbilisi, Georgia, which promotes human rights and good governance by raising civic awareness through sound informational reports, research and policy recommendations.
- Hungary, Sandor Horvath Head of Department for Contemporary History and Senior Research Fellow at the Institute of History, Research Centre for the Humanities, Hungarian Academy of Sciences; The primary coordinator of the international research project COURAGE - "Cultural Opposition – Understanding the Cultural Heritage of Dissent in the Former Socialist Countries"; The founding editor of the Hungarian Historical Review.
- Kazakhstan, Alexandra Tsay Independent research fellow in cultural studies and an art curator based in Almaty, Kazakhstan. Is Memory Studies Specialist and has worked in the archives of Kazakhstan
- Kyrgyzstan, Nurbek Toktakunov Human rights activist, lawyer, Director of the Partner Group Precedent. The main directions of the organization are: administrative legal proceedings, access to information, transparency of the state budget, public administration in the field of judicial system.
- Lithuania, Vytautas Staricovicius Lecturer at Vilnius University, Department of History. He also works at the Lithuanian Museums' Centre of Information, Digitisation, and LIMIS. Vytautas Staricovicius is a historian researching the Soviet history, politics of history and memory culture in Central and Eastern Europe.
- Latvia, Edgars Engizers Faculty member at the Baltic International Academy. He is an author of various research papers on the recent history of Baltic States.
- Moldova, Igor Casu Historian. Director of the Center for the Study of Totalitarian Regimes. Professor of the State University of Moldova. Has years of working experience in archives.
- Poland, Małgorzata Łukianow Assistant professor at the Institute of Philosophy and Sociology, Polish Academy of Sciences and Managing editor at "ASK: Research and Methods". She has worked on the project "Formation of social memory in post-migration communities".
- Romania, Alexandru Murad Mironov Faculty Member at the History Department, University of Bucharest. He is an author of a number of articles on the history of Communist Romania.
- Russian Federation, Lawyer. Has worked on related issues of freedom of information and human rights. Member of human rights organization which is advocating on access to the information from the state institutions and data protection.
- Tadjikistan, Parviz Mullojonov Historian at Whitman College and the Former Chairman of the Board of the Tajik branch of the OSI. He has been one of the country's

active civil society activists since the middle of the 90's. Has worked for various international agencies and organizations such as Human Rights Watch/Helsinki, UNCHR, UNDP and ADB.

- Ukraine, Anna Oliinik Director of the Center for the Study of the Liberation Movement. Anna participated in our conference in 2018 and presented about the public initiatives and cooperation with state archives in Ukraine
- Uzbekistan, Historian Has worked in state archives. Graduate Student at the Institute of Asian and African Studies in one of the European Universities. In its initial stage, the project <u>Enhancing Openness of State Archive in Former Soviet Republics</u> covers only the Eastern Partnership (EaP) Region, Russia, and some of the Central Asian states; however, we are planning to scale the methodology up to larger regions in the future. Due to a large number of archives in some countries (e.g. Russia, with 15 state archives on the federal level) not all the archives are evaluated in the above countries. We will continue to evaluate the archives that have not been evaluated at the first stage of the project in the future.

#### Scoring System

Indicators included in the OSA Methodology are granted different weight and provided by index of social importance, which is measured by one of the four qualitative indicators (4, 3, 2 or 1). Such indexes will show the importance of the indicator and its influence on the openness of archives.

Each indicator can be evaluated by the interim score from 0 to 1 points, depending on the completeness of the answer, or the indicator cannot be applied to the given archive:

- 1. Gives a complete answer to the question maximum 1 point.
- 2. Gives a high quality answer to the question 0.75
- 3. Gives a medium quality answer to the question 0.5
- 4. Gives a low quality answer to the question 0.25
- 5. Does not answer the question -0
- 6. The indicator cannot be applied to the given archive (-)

The final score of each indicator is calculated by multiplying its interim score by its social importance index.

For instance, if the indicator's social importance index is 4 and its interim score is 0.75 points, the final score of the indicator will be 3.

With a total of 86 indicators, openness of a state archive is rated on the scale of 0 to 252. The points will be converted to percentages for easier understanding and visualization.

At the same time, we are keeping in mind that not all the indicators can be applied to each state archive we are assessing. For example, if we are assessing archives which do not contain any fonds or files on repressive state institutions, we will not deduct points for non-relevant indicators. In such cases, the final result and the percentage will be calculated based only on relevant indicators.

Each scoring component is evaluated separately.

This scoring system and the structure of the methodology allows us to represent the results in two ways:

- 1. **Results by Separate State Archives** Each archive will be assessed with the relevant indicators. The results will be converted in percentages and the average result will be calculated.
- 2. **Country Overall Results and Rating** The country overall results are represented using percentages (0-100%). Countries are then ranked by their overall results.

## Visualization

Visualizing the results of the assessment is crucial to the goal of the methodology. For this purpose, the overall points received by each country shall be shown graphically using the scale of 0-100%, divided into 4 quarters of a specific color:

- Low compliance with the OSA Standards 0% to 25% (red)
- Average compliance with the OSA Standards 26% to 50% (orange)
- Good compliance with the OSA Standards 51% to 75% (yellow)
- Excellent compliance with the OSA Standards 76% to 100% (green)

Visualizations will also include spider graphs depicting the countries' results by benchmark indicators and multi-colored bar graphs for comparing the countries' results in defined categories.

#### Terminology

This methodology uses universally accepted archival terminology as well as a few terms of its own design, in order to make the key distinctions easier. This terminology was adopted and put online by the ICA (<u>see this link</u>). Because of the specifics of the post-soviet archives and in order to avoid misunderstanding in some of the terms, we made our glossary bilingual, in English and Russian.

Archive(s) – Refers to the archival institution, rather than the archival holding.
 1) An organization or place that collects, keeps and makes available for usage the records (archival documents). Also referred as archival repository. 2) Agency or program responsible for selecting, acquiring and preserving archives, making them available, and approving destruction of other records.

**Архив(ы)** – Имеется в виду скорее архивное учреждение, чем массив архивных документов.1) Архив может представлять собой организацию или место, где хранятся и доступны для пользования архивные документы. Так же называют архивохранилищем. 2) Архив может представлять собой организацию или компьютерную программу, которые обеспечивают отбор архивных документов на хранение, комплектование и обеспечение сохранности архивного фонда, предоставление доступа к архивным документам.

- 2. National Archival Fonds Historically developed and constantly filled up set of the archival documents which are reflecting material and spiritual life of society, having historical, scientific, social, economic, political and cultural value and is subject to continuous storage. Национальный Архивный Фонд Исторически сложившаяся и постоянно пополняемая совокупность архивных документов, отражающих материальную и духовную жизнь общества, имеющих историческое, научное, социальное, экономическое, политическое и культурное значение, подлежащих постоянному хранению.
- 3. Archival fonds (record group) The entire body of records of an organization, family, or individual that have been created and accumulated as the result of an organic process reflecting the functions of the creator.

**Архивный фонд** – Общая совокупность архивных документов, находящихся на хранении в архиве или другом документохранилище.

- 4. **File** A record or group of records related by use or topic, typically housed in a folder. **Дело** – Архивный Документ или совокупность архивных документов, относящихся к одному вопросу или участку деятельности, помещенных в отдельную папку.
- 5. **Record** Single document created or received by a person, family, or organization, public or private, in the conduct of their affairs that are preserved because of the enduring value contained in the information they contain or as evidence of the functions and responsibilities of their creator. In this methodology, it refers to the records that are exclusively paper-based (text on paper) and not electronic formats, photographs, motion pictures, videos, sound recordings and other that generally refers to an archival record.

**Архивный документ** – Единый документ, созданный или полученный лицом, семьей или организацией, публичной или частной, в ведении своих дел, которые сохраняются из-за непреходящей ценности, содержащейся в содержащейся в них информации или в качестве доказательства функций и обязанностей их создателя. В этой методологии это относится к записям, которые являются исключительно бумажными (текст на бумаге), а не электронными форматами, фотографиями, кинофильмами, видеороликами, звукозаписью и другими, что обычно относится к архивной записи.

**6. Copy** – A document that arises as a result of the reproduction of the information of the record with the change or replacement of the medium, accurately reproducing the content, all or part of the external features of the record.

Копия документа – Документ, возникший в результате воспроизведения информации архивного документа с изменением или заменой носителя, точно воспроизводящий содержание, все или часть внешних признаков архивного документа.

**7. Finding Aid** – A set of archival reference books and accounting documents (the list of the fonds, inventories, fonds reviews, catalogs, indexes, etc.) that provide a search for the information included in this fonds and accounting of its records.

**Научно-справочный аппарат к архивному фонду** – Совокупность архивных справочников и учетных документов (лист фонда, описи, обзоры фонда, каталоги, указатели и т.д.), обеспечивающих поиск включенной в данный фонд информации и учет входящих в негоархивных документов.

8. Guide book – A finding aid giving a general account of all or part of the holdings of one or several archives, and/or manuscript repositories. A guide is usually arranged byfonds, record/archive group, or collection and classes or series therein.

**Путеводитель по фондам Архива** – Архивный справочник, содержащий в систематизированном порядке характеристики или краткие сведения об архивных

фондах и предназначенный для ознакомления с его составом и содержанием. Справочник, содержащий общие сведения обо всех или части документов одного или нескольких архивов и/или хранилищ рукописей. Путеводитель обычно составлен в соответствии архивными фондами, архивными документами/группой документов, или коллекциями, подразделяющимися на классы или серии.

- Inventory Reference and accounting document containing a systematic list of files of the archive fonds, intended for disclosure of their content and accounting.
   Опись Справочный и учетный документ, содержащий систематизированный перечень дел архивного фонда, предназначенный для раскрытия их содержания и учета.
- 10. Access to the archive-The right, opportunity or means of finding, using or approaching documents and/or information(or a system of archives). Access may also be affected by the physical state of the materials, or the need to conserve them.
   Доступ к архивным документам Предусмотренные нормативными актами право,

возможность и средства поиска, использования документов архива или/и информации (или системы архивов). На доступ может также влиять физическое состояние материалов или необходимость их сохранности.

11. **Researcher** –Any physical person, domestic or a foreign citizen, who applied for the access to the Archive's reading room or is using the data published by the Archive online for the scientific, personal or other purpose not prohibited by law.

**Исследователь** – Любое физическое лицо, гражданин страны или иностранец, обратившийся за доступом в читальный зал Архива или использующий данные, опубликованные архивом онлайн в научных, личных и других, не запрещенных законом целях.

- Usage of archival data Application of information of records in cultural, scientific, political, economic targets and for ensuring legitimate rights and the interests of citizens.
   Использование архивных данных Применение информации архивных документов в культурных, научных, политических, экономических целях и для обеспечения законных прав и интересов граждан.
- 13. Social and legal notices from the Archive Documents issued by the archive of birth, death, marriage, divorce, repression, rehabilitation, reward, professional-technical, secondary and the higher education, academic degree, registration and the cancellation of registration of a citizen to a concrete address, change of name, surname and nationality e.t.c.. Социально-правовые уведомления из архива Документы выданные архивом о рождении, смерти, браке, разводе, репрессии, реабилитации, вознаграждении, образовании / ученой степени, регистрации / отмены регистрации гражданина по конкретному адресу, изменении имени, фамилии или гражданства и. т. д.
- Propertynotices Documents issued by the archive confirming the property right.
   Уведомления о собственности –Документы, выданные архивом, подтверждающие право собственности.
- 15. Declassify To make records that have been restricted for reasons of national security accessible to individuals without security clearance.
  Рассекречивать Снимать гриф секретности и связанные с ним ограничения в доступе к архивным документам.
- 16. **Personally identifiable information** Information that a) can be used on its own or with other information to identify, contact, or locate a single person, or b) to identify an individual in context.

**Персональные данные**–Любая информация, которая а) может быть использована для идентификации того субъекта персональных данных, к которому она относится, либо б) которую возможно прямо или косвенно связать с субъектом персональных данных.

- 17. **Day** In the context of this methodology a day implies a working day. **День** – В контексте данной методологии, один рабочий день.
- Repressive state institutions State institutions which implemented the repressive actions. Система репрессивных органов – Государственные учреждения которые проводили репрессивные действия.
- 19. FOI Freedom of Information/ Свобода Информации.

#### Methodology Indicators

#### 1.1 General archive legislation

#	Social Importance Index	Indicator	Final Score	Relevant Article from the Law (if applicable)
1.1.1	4	<ul> <li>Access to archival fonds (files and records) is granted equally to any researcher – both foreign and domestic citizens</li> <li>a) Yes - 1</li> <li>b) The Archive has unequal conditions of access with the advantage for the domestic citizens – 0.25</li> </ul>	Answer: a) Score: 4	Law on Archives and Documents of the Republic of Lithuania, Chapter 4, Article 19, (December 5, 1995; last amendment on 1 January, 2020)
1.1.2	4	<ul> <li>Access to the reading room is:</li> <li>a) Unrestricted, any researcher can use the reading room – 1</li> <li>b) The Archive limits access of researchers to the reading room based on the principle of equal treatment guaranteed by law – 0.5</li> <li>c) The Archive limits access of researchers to the reading room at its discretion and provides a written substantiation of this decision – 0.25</li> <li>d) The Archive limits access of researchers to the reading room at its discretion without providing substantiation – 0</li> </ul>	Answer: a) Score: 4	Order of the Chief Archivist of Lithuania, Chapter 2, Article 9 (12 September, 2018, No. VE-64)
<u>1.1.3</u>	4	Access to the Archive reading room	Answer:	Order of the Chief Archivist of

		<ul> <li>procedures:</li> <li>a) Domestic and foreign citizens enjoy equal rights to access the Archive reading room – 1</li> <li>b) In order to access the reading room, foreign citizens have to submit certain paperwork in addition to what is demanded from domestic citizens - 0.75</li> <li>c) According to the subordinate legal act, the waiting time to get access to the reading room for foreign citizens is longer, than for domestic ones - 0.5</li> <li>d) The Archive provides foreign citizens with unequal conditions of access to the reading room, by the principles mentioned in both <i>b</i> and <i>c</i> points of this section - 0</li> </ul>	a) Score: 4	Lithuania, Chapter 3, Article 9 (12 September, 2018, No. VE-64)
1.1.4	4	<ul> <li>Upon turning down a request to access archival fonds and finding aid:</li> <li>a) The Archive provides a written substantiation – 1</li> <li>b) The Archive provides only with oral substantiation - 0.25</li> <li>c) The Archives does not provide any substantiation – 0</li> </ul>	Answer: a) Score: 4	Decision by Government of the Republic of Lithuania Regarding Public Services, Complaints and Requests at Public Institutions, Articles 3 to 5 (22 August, 2007, No. 875).
1.1.5	3	<ul> <li>Do individuals with unserved or unacquitted conviction have access to the Archive:</li> <li>a) Yes – 1</li> <li>b) Only individuals with unserved or unacquitted conviction for serious crime or felony have restricted access to the Archive- 0.75</li> <li>c) No - 0</li> </ul>	Answer: a) Score: 3	Threre are only restrictions to provide archival services in Law on Archives and Documents of the Republic of Lithuania, Chapter 3, Article 18, Point 5 (December 5, 1995)
1.1.6	3	<ul> <li>Differences in terms of access depends on whether the organization (e. g. university) is asking for access or an individual:</li> <li>a) No differences – 1</li> <li>b) The difference in terms of access depends on whether it is a state</li> </ul>	Answer: a) Score: 3	

1.1.7	4	<ul> <li>body or an NGO – 0,5</li> <li>c) Different – 0</li> <li>Access to archival fonds' records (originals or copies) only for getting insight in the reading room or website:         <ul> <li>a) is free of charge – 1</li> <li>b) is free of charge for digital copies, but paper copies require payment – 0,5</li> <li>c) is not free of charge – 0</li> </ul> </li> </ul>	Answer: b) Score: 2	
1.1.8	4	<ul> <li>The Archive has the discretionary authority to refuse access to any file/fonds or finding aid (except for classified files or those containing legally protected personal information):</li> <li>a) The Archive grants access to any file or finding aid – 1</li> <li>b) The grounds for restricting access to files or finding aid are granted to the Archive by the law – 0.75</li> <li>c) The grounds for restricting access to files or finding aid are granted to the Archive by a subordinate legal act (order of the head of the archive) – 0</li> </ul>	Answer: a) Score: 4	Law on Archives and Documents of the Republic of Lithuania, Chapter 4, Article 20 (December 5, 1995; as last amended on 1 January, 2020)
1.1.9	2	The law or subordinate legal act list the restrictions to accessing the reading room or archival fonds/files and define the relevant terms (except for classified files or those containing legally protected personal information): a) Yes $-1$ b) No $-0$	Answer: a) Score: 2	Law on Archives and Documents of the Republic of Lithuania, Chapter 4, Article 20, Points 1 to 6 (December 5, 1995; as last amended on 1 January, 2020)
1.1.10	2	<ul> <li>The Archive allows other public institutions, private organizations and citizens to use its files/records for exhibition or other purposes that do not endanger the physical condition of the files/records:</li> <li>1) The Archive allows other public institutions to use the originals and copies of its files/records.</li> </ul>	Answer: a) Score: 2	

	<ol> <li>2) The Archive allows other public institutions to use only copies of its files/records.</li> <li>3) The Archive allows private organizations to use the originals and copies of its files/records.</li> <li>4) The Archive allows private organizations to use only copies of its files/records.</li> <li>5) The Archive allows natural persons to use the originals or copies of its files/records.</li> <li>6) The Archive allows natural persons to use only copies of its files/records.</li> <li>a) The law or subordinate legal acts allow actions of all 6 categories - 1</li> <li>b) The law or subordinate legal acts allow only actions of the categories 1 - 4 - 0.75</li> </ol>		
	<ul> <li>c) The law or subordinate legal acts only allow actions of the categories 1 - 2 - 0.5</li> <li>d) The law or subordinate legal acts do not allow any of the above actions - 0</li> </ul>		
1.1.11 3	<ul> <li>Responsibility for the illegal usage of the personal data lies:</li> <li>a) Only upon the Researcher, who is using the personal data illegally - 1</li> <li>b) The Archivist and the Researcher, who is using the personal data illegally, share the responsibility – 0.5</li> <li>c) Only upon the Archivist - 0</li> </ul>	Answer: a) Score: 3	Law On Legal Protection Of Personal Data of the Republic Of Lithuania (12 May, 2011, No XI- 1372)
1.1.12 2	Declassified fonds, files or records that have been already published (with accordance to the Law or subordinate legal act) may not be re-classified: a) No - 1 b) Yes - 0	Answer: a) Score: 2	Law on Archives and Documents of the Republic of Lithuania, Chapter 4, Article 20, Point 3 (December 5, 1995; last amended on 1 January, 2020)
1.1.13 4	Declassified fonds, files, or records that have	Answer:	Law on Archives and Documents

		<ul> <li>not been published may be re-classified:</li> <li>a) May not be reclassified – 1</li> <li>b) Can be re-classified, according to the law, if it is necessary to defend the fundamental human rights, freedoms and legal interests, for violated rights rehabilitation and to avoid causing harm to the human health and security – 0,5</li> <li>c) May be reclassified – 0</li> </ul>	b) Score: 2	of the Republic of Lithuania, Chapter 4, Article 20, Point 3 (December 5, 1995; last amended on 1 January, 2020)
1.1.14	4	Formerly classified fonds, files, or records cannot be destroyed:a)Cannot be destroyed – 1b)Can be destroyed – 0	Answer: a) Score: 4	Law on Archives and Documents of the Republic of Lithuania, Chapter 4, Article 20, Point 3 (December 5, 1995; last amended on 1 January, 2020)
1.1.15	4	<ul> <li>Upon the termination of the statutory period, the archival fonds becomes declassified by the Archive itself, established committee or other authorized body:</li> <li>a) Right away after the termination of the statutory period - 1</li> <li>b) Based on the request of a citizen or a legal entity - 0.5</li> </ul>	Answer: a) Score: 4	Decision of the Government of the Republic of Lithuania Regarding Regulation of State Archives, Article 4, February 17, 2011, No. 197)
1.1.16	4	<ul> <li>Classification of the fonds, files, or records after the termination of the statutory period:</li> <li>a) Cannot be prolonged - 1</li> <li>b) Can be prolonged on the special occasions defined by law - 0.5</li> <li>c) Can be prolonged according to the subordinate legal act (the order of the Director of the Archive or similar) - 0</li> </ul>	Answer: b) Score: 2	Decision of the Government of the Republic of Lithuania Regarding Regulation of State Archives, Article 4, Point 11.9- 10, February 17, 2011, No. 197)
1.1.17	1	National legislation does not recognize the concept of 'Secret Archives' or 'Secret fonds', without making available for researchers information about them and the finding aid:a) No - 1 b) Yes - 0	Answer: a) Score: 1	Decision of the Government of the Republic of Lithuania Regarding Accessibility of the Special Part of National Document Fund, Chapter 1, Article 2, June 13, 2007, No. 579)
1.1.18	3	It is inadmissible by law to hide the	Answer:	Decision of the Government of the

		<ul> <li>existence of classified records:</li> <li>a) Yes - 1</li> <li>b) The law does not contain a relevant provision - 0.75</li> <li>c) Admissible, according to the level of access - 0.5</li> <li>d) No - 0</li> </ul>	b) Score: 2.25	Republic of Lithuania Regarding Accessibility of the Special Part of National Document Fund, Chapters 1 to 2, June 13, 2007, No. 579)
1.1.19	2	<ul> <li>The Archive provides social and legal notices from the records included in the fonds of the repressive state institutions:</li> <li>a) Provides for everyone - 1</li> <li>b) Provides only to the relevant individual, his / her lawful representative or a relative - 0.75</li> <li>c) Provides for everyone, but using restrictions (e. g. only from the cases of those, who have been rehabilitated) - 0.5</li> <li>d) Doesn't provide - 0</li> </ul>	Answer: c) Score: 1	Exeptions: Lustration Law of Lithuania, November 23, 1999; Law on Legal Protection of Personal Data, June 11, 1996; No I-1374 (amended on May 12, 2011; No XI-13.
1.1.20	4	<ul> <li>Files and records of the repressive state institution's fonds are accessible in the reading room for any researcher:</li> <li>a) Yes - 1</li> <li>b) No - 0</li> </ul>	Answer: b) Score: 0	Law of Genocide and Resistance Research Centre of Lithuania adopted by Parliament of Lithuania, Article 5, Paragraph 23 (June 30, 2010) Decision of the Government of the Republic of Lithuania Regarding Accessibility of the Special Part of National Document Fund, Chapters 1 to 2, June 13, 2007, No. 579)
1.1.21	4	The law prohibits classifying of fonds         (neither full, nor partial), files, or records         held by the repressive state institutions:         a)       Prohibited by law – 1         b)       Prohibited by a subordinate legal act         -0.75       c)         There is no information on this in         the law or subordinate legal acts -         0.25         d)         Allowed by law or subordinate legal         act - 0	Answer: c) Score: 1	Jule 10, 2007, 110. 577)
1.1.22	1	After attaching a file or record to the archive fonds, a natural or legal person is obligated to	Answer: a)	Law on Archives and Documents of the Republic of Lithuania,

		<ul> <li>transfer this file or record to the archive or other entity authorized to store the national archive fonds:</li> <li>a) Is not obligated if it meets the terms of storage - 1</li> <li>b) Is obligated - 0.25</li> </ul>	Score: 1	Chapter 2, Article 20 (December 5, 1995; as last amended on 1 January, 2020)
1.1.23	2	<ul> <li>The legislation recognizes the existence of private archives and provides the protection and autonomous management of their records:</li> <li>a) Recognizes, but does not have control over their activity - 1</li> <li>b) Recognizes, but has control over their activity - 0,5</li> <li>c) No - 0</li> </ul>	Answer: a) Score: 2	Law on Archives and Documents of the Republic of Lithuania, Chapter 2, (December 5, 1995; as last amended on 1 January, 2020)
Amoun Receive			p N1.1: <b>72</b>	

# 1.2 Other legislation in the country related to the freedom of information and archives [Law on Personal Data Protection and other similar legislative acts]

#	Social Importance Index	Indicator	Final Score	Relevant Article from the Law (if applicable)
1.2.1	4	It is forbidden to classify as a state secret a file or a record that has information about the violation or restriction of human fundamental rights, freedoms and legitimate interests, or about obstruction of rehabilitation of these rights, as well as harm to human health and security: a) Yes - 1 b) No - 0	Answer: a) Score: 4	
1.2.2	3	Access to records containing personal data, personal or/and family secrets, data about private life or containing threats to the person's security since the moment of their creation is granted after a period of: a) 50 years or less – 1	Answer: a) Score: 3	Law on Archives and Documents of the Republic of Lithuania, Chapter 4, Article 20, Points 5 (December 5, 1995; last amended on 1 January, 2020)

1.2.3	3	<ul> <li>b) 51-74 years - 0.75</li> <li>c) 75-99 years - 0.5</li> <li>d) 100 years or more - 0.25</li> </ul> A period of time since the individual's death, after which access to the records containing his/her personal data, including personal or/and family secret data about private life or containing threats to the person's security, is granted after: <ul> <li>a) 30 years or less - 1</li> <li>b) 31-50 years - 0.5</li> <li>c) 50 more than 50 years - 0</li> </ul>	Answer: a) Score: 3	Law on Archives and Documents of the Republic of Lithuania, Chapter 4, Article 20, Points 5 (December 5, 1995; last amended on 1 January, 2020)
1.2.4	3	<ul> <li>Information containing personal data, including personal or/and family secret, will be made publicly available before the legally defined period in case of death of this person, if this information is going to be used for historical, statistical, or other scientific research purposes:</li> <li>a) The information is declassified and made available – 1</li> <li>b) The information is declassified and made available only upon approval of a legal heir – 0.5</li> <li>c) The information remains classified until the expiration of the legal period – 0</li> </ul>	Answer: b) Score: 1.5	Law on Archives and Documents of the Republic of Lithuania, Chapter 4, Article 20, Points 5 (December 5, 1995; last amended on 1 January, 2020)
1.2.5	2	Usage of records containing personal data, including personal or/and family secret that is subject to wide public interest is allowed for historical, statistical, or scientific purposes if the person cannot be identified: a) Yes - 1 b) No - 0	Answer: a) Score: 2	Law on Archives and Documents of the Republic of Lithuania, Chapter 4, Article 20, Points 5 (December 5, 1995; last amended on 1 January, 2020)
1.2.6	2	Files containing personal data, including personal or/and family secret, of an individual applying for an elective office (in the representative institutions) or has been appointed on a political or state-political position does not constitute information with limited access, with the exception of certain information that is defined	Answer: a) Score: 2	

		otherwise by law:		
		<ul> <li>a) Such information does not constitute information with limited access – 1</li> <li>b) Such information does not constitute information with limited access, but only upon consent of the individual – 0.5</li> <li>c) Such information constitutes information with limited access or relevant law does not foresee this principle – 0.25</li> </ul>		
1.2.7	3	<ul> <li>The Archive is obligated to provide a written reasoning and legal substantiation for its decision to refuse to provide a record, including personal or/and family secret, containing personal data:</li> <li>a) Is obligated – 1</li> <li>b) Can provide an oral explanation – 0.25</li> <li>c) Is not obligated – 0</li> </ul>	Answer: a) Score: 3	
1.2.8	4	<ul> <li>The Law on Personal Data Protection does not apply to the archives or fonds of repressive state institutions:</li> <li>a) Does not apply – 1</li> <li>b) The law does not contain a relevant provision – 0.25</li> <li>c) Applies – 0</li> </ul>	Answer: b) Score: 1	Lustration Law of Lithuania, November 23, 1999; Law on Legal Protection of Personal Data, June 11, 1996; No I-1374 (amended on May 12, 2011; No XI-1372) Law on State Secrets and Official Secrets (November 25, 1999; No VIII-1443)
Amoun Receive	-	points to receive in benchmark indicator grouj vant to the current archive: <b>24</b> %	N1.2: <b>24</b>	· · · · · · · · · · · · · · · · · · ·

#### 1.3 Archive services

#	Social Importance Index	Indicator	Final Score	Relevant Article from the Law (if applicable)
1.3.1	3	The following is defined by	Answer:	Description of Puclic Services Provided by
		the law or subordinate legal	a)	Lithuanian Special Arhives (2019, No. (1.28)
		acts:	Score: 3	V6, in: http://www.archyvai.lt/lt/
				lya_paslaugos/lya_viesosios_paslaugos/saugomu-
		1) Types of service provided		nacionalinio-dokumentu-jzxj.html

	<ul> <li>by the Archive</li> <li>2) Fees assigned to the archival services and their standard timeframes;</li> <li>3) Fees set for archival services provided in accelerated timeframes;</li> <li>4) Rules for paying the above fees;</li> <li>5) Terms for paying the above fees.</li> <li>a) Law or subordinate legal acts include all 5 categories – 1</li> <li>b) Law or subordinate legal acts include only categories 3-4 – 0.75</li> <li>c) Law or subordinate legal acts include only categories 1-2 – 0.5</li> <li>d) Law or subordinate</li> </ul>	
	legal acts do not include any of the above – 0	
1.3.2 3	the Archive are:	Answer: a) Score: 3
	<ol> <li>Collection of files under a specific thematic query.</li> <li>Answering non-standard thematic queries on specific facts, events or records.</li> <li>Preparation and delivery of social-legal notices.</li> <li>Providing services to the researchers in the reading room.</li> <li>Temporary storage of files belonging to organizations / institutions.</li> <li>Arranging finding aids for the records belonging to organizations / institutions.</li> <li>Restoration of files/records.</li> </ol>	Description of Puclic Services Provided by Lithuanian Special Arhives (2019, No. (1.28) V6, in: http://www.archyvai.lt/lt/lya_paslaugos/ lya_viesosios_paslaugos/saugomu-nacionalinio- dokumentu-jzxj.html

1.3.3	2	<ul> <li>a) The Archive provides all 7 and other types of services – 1</li> <li>b) The Archive provides 5-6 of the above service types – 0.75</li> <li>c) The Archive provides 3-4 of the above service types – 0.5</li> <li>d) The Archive provides 1-2 of the above service types – 0.25</li> <li>e) The Archive does not provide any of the above services – 0</li> </ul>	Answer:	
1.3.3	2	<ul> <li>a) 3-5 working days - 1</li> <li>b) 6-10 working days - 0.5</li> <li>c) 11 or more working days - 0.25</li> </ul>	b) Score: 1	Decision of the Government of the Republic of Lithuania Regarding Accessibility of the Special Part of National Document Fund, Article 2, Point 9, June 13, 2007, No. 579)
1.3.4	2	The cost of preparing and providing social-legal notices (apart from property notices) ordered by citizens (in a standard time limit) is: a) 0%-0.49% of the average wage <sup>1</sup> in the country - 1 b) 0.5% - 1.49% - 0.75 c) 1.5% and more - 0.25	Answer: b) Score: 1.5	Order of the Minister of Culture of the Republic of Lithuania, Articles 1.1.1-8 (February 20, 2017; No. ĮV-377) https://www.e- tar.lt/portal/en/legalAct/ 4537a810fe6b11e68034be159a964f47
1.3.5	2	The cost of preparing and delivering property notices ordered by citizens (in a standard time limit) is: a) 0%-1.99% of the average wage in the country - 1 b) 2%-4.99% - 0.75	Answer: b) Score: 1.5	Order of the Minister of Culture of the Republic of Lithuania, Articles 1.1.9.1-2 (February 20, 2017; No. ĮV-377) https://www.e- tar.lt/portal/en/legalAct/ 4537a810fe6b11e68034be159a964f47

<sup>&</sup>lt;sup>1</sup> See official data from last year from the statistics buereau in the country or this <u>website</u>.

	c) 5% and more – 0.25		
3	Discounts defined by the law or subordinate legal acts on the provision of social-legal notices apply to:	Answer: b) Score: 2.25	
	<ol> <li>Persons with disabilities</li> <li>War veterans and persons with equal status;</li> <li>Internally displaced persons / refugees;</li> <li>Socially vulnerable;</li> <li>Rehabilitated victims of repressions</li> <li>University students;</li> <li>Pensioners.</li> <li>a) Discounts apply to all 7 groups – 1</li> </ol>		Order of the Minister of Culture of the Republic of Lithuania, Article 13 (February 20, 2017; No. ĮV-377) <u>https://www.e-tar.lt/portal/en/legalAct/</u> <u>4537a810fe6b11e68034be159a964f47;</u> There are more legal and physical subjects who can be provided with discounts, and in addition Lithuanian law does not define term "war veterans".
	<ul> <li>b) Discounts apply to only 4-6 groups - 0.75</li> <li>c) Discounts apply to only 2-3 groups - 0.5</li> <li>d) Discounts apply to only 1 group - 0.25</li> <li>e) The Archive does not offer any discounts - 0</li> </ul>		
3	Discounts defined by the law or subordinate legal act on the provision of social-legal notices apply equally to the domestic and foreign citizens:	Answer: c) Score: 1.5	
	<ul> <li>a) Discounts apply equally – 1</li> <li>b) Discounts apply to only those foreign citizens who have a status of a student or a person with disabilities – 0.75</li> <li>c) Discounts apply to only those foreign citizens with temporary residence or work permits – 0.5</li> </ul>		
		<ul> <li>3 Discounts defined by the law or subordinate legal acts on the provision of social-legal notices apply to: <ol> <li>Persons with disabilities</li> <li>War veterans and persons with equal status;</li> <li>Internally displaced persons / refugees;</li> <li>Socially vulnerable;</li> <li>Rehabilitated victims of repressions</li> <li>University students;</li> <li>Pensioners.</li> <li>Discounts apply to all 7 groups – 1</li> <li>Discounts apply to only 4-6 groups – 0.75</li> <li>Discounts apply to only 2-3 groups – 0.5</li> <li>Discounts apply to only 1 group – 0.25</li> <li>The Archive does not offer any discounts – 0</li> </ol> </li> <li>3 Discounts defined by the law or subordinate legal act on the provision of social-legal notices apply equally to the domestic and foreign citizens: <ol> <li>Discounts apply to only 1 group – 0.25</li> <li>The Archive does not offer any discounts – 0</li> </ol> </li> </ul>	3Discounts defined by the law or subordinate legal acts on the provision of social-legal notices apply to:Answer: b) Score: 2.251)Persons with disabilities 2)War veterans and persons with equal status; 3)Internally displaced persons / refugees; 4)Socially vulnerable; 5)3)Internally displaced persons / refugees; 4)Socially vulnerable; 5)Rehabilitated victims of repressions 6)5)Rehabilitated victims of repressions 6)University students; 7)Pensioners.a)Discounts apply to all 7 groups - 1 b)Discounts apply to only 4-6 groups - 0.75 c)Discounts apply to only 1 group - 0.25 e)Answer: c)3Discounts defined by the law or subordinate legal act on the provision of social-legal notices apply equally to the domestic and foreign citizens:Answer: c) Score: 1.5a)Discounts apply equally - 1 b)Discounts apply to only those foreign citizens who have a status of a student or a person with disabilities - 0.75 c)Discounts apply to only those foreign citizens with temporary residence or work permits - 0.5

		apply to foreign citizens – 0			
1.3.8	3	The prices of the archival	Answer:		
		services (both notices and the	a)		
		ones of the reading room) are	Score: 3		
		equal for the domestic and		Order of the Minister of Culture of the Republic	
		foreign citizens:		of Lithuania (February 20, 2017; No. ĮV-377)	
		a) Yes – 1		https://www.e-tar.lt/portal/en/legalAct/	
		b) The prices are higher		4537a810fe6b11e68034be159a964f47	
		for the foreign citizens			
		- 0			
Maximum amount of points to receive in benchmark indicator group N1.3: 21					
Amount of points relevant to the current archive: 21					
Received points: 16.75					
Percen	tage (%): <b>79.76</b>	5%			

## 2. Website

#	Social Importance Index	Indicator	Final Score	Link (if applicable)
2.1	3	<ul> <li>The Archive has a multilingual website:</li> <li>a) The Archive has a website in the official state language as well as in English or Russian – 1</li> <li>b) The Archive website is available only in the official state language – 0.25</li> <li>c) The Archive does not have a website – 0</li> </ul>	Answer: a) Score: 3	http://www.archyvai.lt/en/archiv es/specialarchives.html; English version offers less opportunities, services and information Lithuanian.
2.2	3	<ul> <li>The Archive website contains archive related legislation:</li> <li>a) In the official state language and in English of Russian – 1</li> <li>b) Only in the official state language – 0.75</li> <li>c) The Archive website does not contain archive related legislation – 0</li> </ul>	Answer: a) Score: 3	http://www.archyvai.lt/en/legisla tion.html; It should be noticed that English verion provided some outdated laws and is needed to update.
2.3	3	<ul> <li>The Archive website explains the types of services it offers (or it is possible to find answers in the FAQ section of the website):</li> <li>a) Information about services of the Archive is available in the official state</li> </ul>	Answer: a) Score: 3	http://www.archyvai.lt/en/access andservice.html

<u>2.6</u>	3	The Archive website provides the possibility to	Answer:	//eais-pub.archyvai.lt/eais/;
		<ul> <li>a) All 7 categories of information are present – 1</li> <li>b) Between 4 and 6 of the required categories of information are present – 0.75</li> <li>c) Only 2 or 3 of the required categories of information are present – 0.5</li> <li>d) Only 1 required category of information is present – 0.25</li> <li>e) There is no list of the archival fonds - 0</li> </ul>		
		<ol> <li>fonds (or a guide book) with the following key information:</li> <li>The name of the fonds;</li> <li>Chronological span of the fonds;</li> <li>Amount of information stored in the fonds (the number of records/ files or metric measure);</li> <li>Language(s) of the information stored in the fonds;</li> <li>Location of fonds;</li> <li>Description of the archival groups, which the archival fonds are divided into: a detailed description of the thematic or structural groups into which the archival fonds is organized;</li> <li>Status: classified/declassified.</li> </ol>	a) Score: 2	http://www.archyvai.lt/lt/lya_fon du-sarasas.html; Extended information could be founded in https://eais-pub.archyvai.lt/eais/.
2.4 2.5	2	<ul> <li>c) The Archive website does not provide such information – 0</li> <li>The Archive website provides information about the access procedure for the researchers, working hours and working rules: <ul> <li>a) In the official state language and in English or Russian – 1</li> <li>b) Only in the state language – 0.75</li> <li>c) The Archive website does not provide such information – 0</li> </ul> </li> <li>The Archive website contains a list of archival</li> </ul>	Answer: a) Score: 3 Answer:	http://www.archyvai.lt/en/access andservice.html
		<ul> <li>language and in English or Russian – 1</li> <li>b) Information about services of the Archive is available only in the official state language – 0.75</li> </ul>		

		<ul> <li>request and receive the documents of the finding aid online:</li> <li>a) The Finding aid documents are proactively available on the Archive website – 1</li> <li>b) It is possible to make a request for the finding aid documents and receive them online – 0.75</li> <li>c) The Archive website does not have an online request option – 0</li> </ul>	b) Score: 2.25	Such a possibility is provided by E-Government Gateway service via https://eais- pub.archyvai.lt/eais/. It should be noticed that is ongoing process of digitation of archival material, so accessibility of files and data is limited.
2.7	3	The Archive website contains copies of inventories of archive fonds: a) 76-100% of fonds – 1 b) 51-75% of fonds – 0.75 c) 26-50% of fonds – 0.5 d) 1-25% of fonds – 0.25 e) Inventories of fonds are not available – 0	Answer: a) Score: 3	<u>http://www.archyvai.lt/lt/lya_fon</u> <u>du-sarasas.html</u>
2.8	3	<ul> <li>The Archive website provides the ability to request and receive official legal documents (archive notices) online using the system of electronic document turnover in accordance with the legal norms and fees:</li> <li>a) It is possible to request as well as receive these documents – 1</li> <li>b) It is possible to either request or receive these documents – 0.75</li> <li>c) The Archive website does not provide this ability – 0</li> </ul>	Answer: b) Score: 2.25	https://www.epaslaugos.lt/portal /search?service_provider_name= Lietuvos+ypatingasis+archyvas
2.9	3	The Archive website provides the ability to request and receive scanned records online according to the legal norms and fees: a) It is possible – 1 b) It is not possible – 0	Answer: a) Score: 3	https://iaps- pub.archyvai.lt/web/guest/apie
2.10	3	<ul> <li>The Archive is obliged by the law or the subordinate legal act to publish periodically the results of its ongoing work (reports) and other public information:</li> <li>a) Once every 6 months (apart from annual reports) – 1</li> </ul>	Answer: b) Score: 1,5	https://www.e- tar.lt/portal/lt/legalAct/TAR.2427 A84AE87C/mbjsbBwdsj

2.11	3	<ul> <li>b) Annually - 0.75</li> <li>c) Once in a period of more than 1 year - 0.5</li> <li>d) The Archive does not publish such information - 0</li> <li>The Archive is obligated by the law or the subordinate legal act to publish the following information on its website:</li> <li>1) A description of the structure and functions of the Archive.</li> <li>2) Annual reports.</li> <li>3) Information about the head of the Archive and other responsible persons.</li> <li>4) Information about the person (persons) responsible for ensuring access to the public information and their contact information.</li> <li>5) Information about the personnel of the Archive - list of employees and identities of the vacancy competitions winners.</li> <li>a) All 5 (or more) categories of information are available - 0.75</li> <li>c) Only 3-4 categories of information are available - 0.25</li> </ul>	Answer: a) Score: 3	http://www.archyvai.lt/lt/lya.ht ml; Threre are no specified law for this Archive, but all information is easy accessible, because the rules of openness are applied by the Office of Chief Archivist and by Decision of Government of the Republic of Lithuania Regarding Public Services, Complaints and Requests at Public Institutions, Articles 3 to 5 (22 August, 2007, No. 875).
		<ul> <li>d) None of the above information is available – 0</li> </ul>		
2.12	3	<ul> <li>The Archive is obligated by the law or the subordinate legal act to publish the following public information on its website:</li> <li>1) Forms and samples of administrative complaints.</li> <li>2) Information on the rules of appeal.</li> <li>3) Information on the annual budget of the Archive.</li> <li>4) Information about the income received by the Archive though its archival services.</li> <li>5) Information about public procurement.</li> <li>6) Information about the Archive assets, including the transfer and use of property.</li> <li>a) All 6 (or more) categories of information are available – 1</li> </ul>	Answer: a) Score: 3	https://opendata.gov.lt/index.ph p?vars=/public/public/search/0/

		<ul> <li>b) Only categories 1 through 3 are available – 0.5</li> <li>c) Only categories 1 through 2 are available – 0.25</li> <li>d) None of the above information is available – 0</li> </ul>		
Maxii	mum amount o	of points to receive in benchmark indicator group	N2: <b>35</b>	
Amou	ant of points re	elevant to the current archive: <b>35</b>		
Recei	ved points: <b>32</b> .	75		
Perce	ntage (%): <b>93.</b>	57%		

# 3. Reading room

#	Social Importance Index	Indicator	Final Score	Relevant Article from the Law or Link (if applicable)
3.1	2	<ul> <li>In order to get access to the archive, the researchers need to provide only their ID card and filled out application or recommendation letter:</li> <li>a) It is prohibited to demand any other documents from researchers to grant them access to the reading room – 1</li> <li>b) The Archive requests additional documents from researchers to grant them access to the reading room – 0</li> </ul>	Answer: a) Score: 2	Order of the Head of Department of Lithuanian Archives, Order No. V-42, Paragraph 2.4 (April 5, 2002) http://www.archyvai.lt/lt/teisine - informacija_51/teisesaktai/doku mentai/24/p110.html Law on Documents and Archives of the Republic of Lithuania (December 5, 1995; No I-1115); Since January 1, 2011, the Department of Lithuanian Archives changed it's name to the Office of the Chief Archivist of Lithuania. Main Laws of Lithuanian Archives and On Protections of Personal Data are published http://www.archyvai.lt/en/news.
3.2	4	Individuals can get remote access to the archive via e-mail (or special form on website): a) Yes – 1 b) No – 0	Answer: a) Score: 4	html Order of the Chief Archivist of Lithuania, Order VE-64, Paragraph 2 (September 12, 2018) <u>https://www.e- tar.lt/portal/lt/legalAct/1147ade</u> <u>0b69611e88f64a5ecc703f89b</u> ; Access is also provided via ongoing Project on the

3.3       2       Foreign citizens are granted access to the Archive by the Archive on its own and not by another institution (e. g., the Ministry of Foreign Affairs):       Answer: a)       Law on Documents and Archives of the Republic of Lithuania does not specify the difference in accessibility by citizenship; also it is ongoing process in Lithuania of synchronization of laws according EU directives regarding accessibility of information and copy rights laws. Hence, the Archive sgrant an access on its own, but according laws of the Republic of Lithuania and EU.					Integrated System of Administrative Services. The aim of the Project is the implementation of "one-stop- shop" principle both in the Office of the Chief Archivist of Lithuania and the state archives, as this is the way to ensure the delivery of quality services and to improve their access to the customer. An individual should be registered at E-Government Gateway system https://www.epaslaugos.lt/portal /en In order to log in a person can use different ways, e.g. e- bank or e-signature etc. In the near future all archival data/information about fonds
3.32Foreign citizens are granted access to the Archive by the Archive on its own and not by another institution (e. g., the Ministry of Foreign Affairs):Answer: a) Score: 2Law on Documents and Archives of the Republic of Lithuania does not specify the difference in accessibility by citizenship; also it is ongoing process in Lithuania of synchronization of laws according EU directives regarding accessibility of information and copy rights laws. Hence, the Archives grant an access on its own, but according laws of the Republic of Lithuania and EU.					Government Gateway service. Ministry of Transport and Communications is responsible for supervision of Lithuanian E- Government Gateway project.
3.3       2       Foreign citizens are granted access to the Archive by the Archive on its own and not by another institution (e. g., the Ministry of Foreign Affairs):       Answer: a)       Law on Documents and Archives of the Republic of Lithuania does not specify the difference in accessibility by citizenship; also it is ongoing process in Lithuania of synchronization of laws according EU directives         a)       Are granted by the archive – 1       b)       Are granted by another institution – 0.25       synchronization of laws access to the Archive - 0         c)       Foreign citizens do not have access to the Archive - 0       an access on its own, but according laws of the Republic of Lithuania and EU.					Communications https://e- seimas.lrs.lt/portal/legalAct/lt/T AD/2cc1e8f2c0fb11e883c7a8f92 9bfc500?jfwid=-35aay2mqk;
Archive by the Archive on its own and not by another institution (e. g., the Ministry of Foreign Affairs):a)Archives of the Republic of Lithuania does not specify the difference in accessibility by citizenship; also it is ongoing process in Lithuania of synchronization of laws according EU directives regarding accessibility of information and copy rights laws. Hence, the Archives grant an access on its own, but according laws of the Republic					https://www.epaslaugos.lt/portal
a) Are granted by the archive – 1process in Lithuania ofb) Are granted by another institution –synchronization of laws0.25c) Foreign citizens do not have access toregarding accessibility ofthe Archive - 0information and copy rightslaws. Hence, the Archives grantan access on its own, butaccording laws of the Republicof Lithuania and EU.	3.3	2	Archive by the Archive on its own and not by another institution (e. g., the Ministry of	a)	Archives of the Republic of Lithuania does not specify the difference in accessibility by
of Lithuania and EU.			<ul> <li>b) Are granted by another institution – 0.25</li> <li>c) Foreign citizens do not have access to</li> </ul>		process in Lithuania of synchronization of laws according EU directives regarding accessibility of information and copy rights laws. Hence, the Archives grant an access on its own, but
researcher in the Archive is: a) Lithuania, Order VE-64,	3.4	4	Waiting time after requesting access as a researcher in the Archive is:		of Lithuania and EU. Order of the Chief Archivist of

		<ul> <li>a) Archive provides access in short order, the same day, after the confirmation of authenticity of the submitted documents – 1</li> <li>b) 1-2 working days – 0.75</li> <li>c) 3-5 working days – 0.5</li> <li>d) more than 5 working days – 0.25</li> </ul>	Score: 4	Paragraph 4 (September 12, 2018) https://www.e- tar.lt/portal/lt/legalAct/1147ade0 b69611e88f64a5ecc703f89b
3.5	3	The number of weekly working hours of the Archive reading room is: a) more than 40 hours – 1 b) 31-40 hours – 0.75 c) 21-30 hours – 0.5 d) 20 hours or less – 0.25	Answer: b) Score: 2.25	Order of the Head of Lithuanian Special Archives Regarding Internal Rules of Archives, Order VI-37, Paragraph 2 (March 29, 2017) https://www.archyvai.lt/lt/lya_ve ikla/lya_vidaus-tvarkos- taisykles.html
3.6	3	The number of days per year when the reading rooms are closed (excluding weekends and public holidays): a) 0-12 working days – 1 b) 13-31 working days – 0.75 c) more than 31 working days – 0.5	Answer: a) Score: 3	Order of the Head of Lithuanian Special Archives Regarding Internal Rules of Archives, Order VI-37, Paragraph 2 (March 29, 2017) https://www.archyvai.lt/lt/lya_ve ikla/lya_vidaus-tvarkos- taisykles.html
3.7	4	The Archive grants fully adapted environment for disabled people to work in the reading room: a) Yes – 1 b) The Archive is partly adapted – 0,5 c) No – 0	Answer: c) Score: 0	Order of Minister of Health of the Republic of Lithuania, Order V-815 (July 16, 2014); It should be taken into consideration that Lithuanian Special Archives constist of three sudivisions and has three reading rooms that located in different parts of Vilnius. Two of reading rooms (for KGB documents and for former Communist Party of Lithuania) are poorly adapted for disabled people; the third reading room, devoted for the Ministry of Internal Affairs, who is located in relatively new building (end of 20th C.) is adopted. Ministry of Health of the Republic of Lithuania has established a program to promote an accessibility of services at all public institutions for people with disabilities. In addition, regarding a vast

3.8	3	Rules of conduct for the researchers are available in the reading rooms in printed or electronic format: a) Available – 1 b) Unavailable – 0	Answer: a) Score: 3	ongoing digitation projects, special e-services for sensible groups are provided, e.g. http://www.archyvai.lt/en/news. html Adopted rules at Lithuanian Special Archives based of Order of the Chief Archivist of Lithuania On Rules in the Reading Rooms at State Archives, Order VE-64, Paragraph 4 (September 12, 2018) https://www.e- tar.lt/portal/lt/legalAct/1147ade0 b69611e88f64a5ecc703f89b
3.9	3	<ul> <li>In the reading room, the researchers sign a statement that they have read and agreed to follow the rules of conduct, ethics norms and archive legislation:</li> <li>a) The Archive provides the researchers with the relevant documentation to be read and signed – 1</li> <li>b) The reading room does not practice this procedure – 0</li> </ul>	Answer: a) Score: 3	Order of the Chief Archivist of Lithuania On Rules in the Reading Rooms at State Archives, Order VE-68, Paragraph 5 (September 12, 2018) https://www.e- tar.lt/portal/lt/legalAct/1147ade0 b69611e88f64a5ecc703f89b
3.10	3	Contact information (phone number, e-mail) of the Archive regulatory body or the individual in charge is available in the reading room for submitting complaints: a) Available – 1 b) Unavailable – 0	Answer: a) Score: 3	The rules of submitting complains are based on the Order of the Chief Archivist of Lithuania On Rules in the Reading Rooms at State Archives, Order VE-68, Article 5, Paragraph 20.6 (September 12, 2018) https://www.e- tar.lt/portal/lt/legalAct/1147ade0 b69611e88f64a5ecc703f89b
3.11	4	<ul> <li>Access to finding aid documents in the reading room is available:</li> <li>a) In short order if the online version exists, or in a reasonable time for a document (in a paper-based form) to be provided in the readers room – 1</li> <li>b) The following day – 0.5</li> <li>c) More than one day later – 0.25</li> </ul>	Answer: a) Score: 4	https://www.archyvai.lt/lt/lya_fo ndu-sarasas.html
3.12	4	Finding aid documents are available in an electronic searchable format in the reading room:	Answer: a) Score: 4	https://www.archyvai.lt/lt/lya_fo ndu-sarasas.html

		<ul> <li>a) 76-100% of finding aid documents are in electronic searchable format – 1</li> <li>b) 51-75% of finding aid documents are in electronic searchable format – 0.75</li> <li>c) 26-50% of finding aid documents are in electronic searchable format – 0.5</li> <li>d) Finding aid documents are available in electronic but scanned and unsearchable format – 0.25</li> <li>e) Finding aid documents are not available in electronic format – 0</li> </ul>		
3.13	1	The archivists share draft inventories of fonds with the researchers if the final versions are lacking (if this does not damage these documents): a) Yes – 1 b) No – 0	Answer: a) Score: 1	Order of the Chief Archivist of Lithuania On Accessibility of Damaged Documents (March 9, 2011; V-99) Law on Documents and Archives, Parliament of the Republic of Lithuania, Article 4, Paragraph 20, Point 6 (March 30, 2004, IX-2084)
3.14	4	<ul> <li>The researchers can access the database of the scanned records in the reading room:</li> <li>a) All records that have already been scanned are available for every researcher – 1</li> <li>b) After filling out a request form for a specific record, the archive processes the request and makes the record available for this specific researcher the same day – 0.75</li> <li>c) After filling out a request form for a specific record, the archive processes the request and makes the record available for this specific researcher the following day – 0.5</li> <li>d) There is no possibility to receive scanned records in the reading room – 0</li> </ul>	Answer: a) Score: 4	https://eais-pub.archyvai.lt/eais/ http://www.archyvai.lt/lt/fondai. html; There are some special exceptions regarding accessibility of documents at special part of the National Documentary Fond (Lithuanian Special Archives is a main institution which preserves files and documents from Communists' and Nazis' secret services). Exceptions and restrictions mostly related to personal data, e.g. in order to protect information about individuals who, after 1990, has voluntary confessed of collaboration with the Soviet secret services (Lustration Law of Lithuania, November 23, 1999; Law on Legal Protection of Personal Data, June 11, 1996; No I-1374 (amended on May 12, 2011; No XI-1372)).

				applied as described in Law on State Secrets and Official Secrets (November 25, 1999; No VIII- 1443, in: https://e- seimas.lrs.lt/portal/legalAct/lt/T AD/TAIS.461671?jfwid=q86m1v ufk). These restrictions are often revised. E.g. Law of Genocide and Resistance Research Centre of Lithuania adopted by Parliament of Lithuania, Article 5, Paragraph 23 (June 30, 2010). Scanned documents are
				sensible information in file which could help to reveal an identity of confessed individual, e.g. his/her name in file is blurred. Genocide and Resistance Research Centre of Lithuania has some privileges and exceptions to access files of secret services due a close cooperation with Archives an its legal status (See a Law of Genocide and Resistance Research Centre). It is Centre's direct responsibility to publish digitized KGB files http://www.kgbveikla.lt/
3.15	4	<ul> <li>The Archive allows the uploading of already scanned records from the Archive server to an electronic data holder:</li> <li>a) Yes, it is free of charge, the researcher pays only for the service (e. g. a CD) - 1</li> <li>b) Available for a lower price than scanning - 0.5</li> <li>c) Available for the price of scanning - 0.25</li> <li>d) It is not possible - 0</li> </ul>	Answer: a) Score: 4	At this moment this free of charge opportunity is applicable for documents and other archival material that is scanned and officially published on https://eais-pub.archyvai.lt/eais/ or other e-environment, e.g. virtual exhibitions http://virtualios- parodos.archyvai.lt/en/ Full list of all scanned files and other material is available.
3.16	3	<ul> <li>Waiting time after a researcher orders a record:</li> <li>a) 0-24 hours - 1</li> <li>b) 1-2 working days - 0.75</li> <li>c) 3-4 working days - 0.5</li> <li>d) more than 5 working days - 0.25</li> </ul>	Answer: a) Score: 3	Order of the Chief Archivist of Lithuania On Rules in the Reading Rooms at State Archives, Order VE-64, Article 4, Paragraph 16 (September 12, 2018)

0 17	n	Number of mounds a married in and a	A	
3.17	3	Number of records a researcher can order	Answer:	Only of the Chief Anghi ist of
		simultaneously:	c)	Order of the Chief Archivist of
			Score:	Lithuania On Rules in the
		a) more than 20 files – 1	1.5	Reading Rooms at State
		b) 11-20 files – 0.75		Archives, Order VE-64, Article
		c) 6-10 files – 0.5		4, Paragraph 16 (September 12,
		d) 1-5 files – 0.25		2018)
3.18	3	A researcher can make a onetime bulk order – a	Answer:	Order of the Chief Archivist of
		request of more files than is permitted, if they	a)	Lithuania On Rules in the
		are contained in one collection or box:	Score: 3	Reading Rooms at State
				Archives, Order VE-64, Article
		a) This option is available – 1		15, Paragraph 16 (September 12,
		b) This option is unavailable – 0		2018);
				2010),
				Despite the Law of Archives of
				Lithuania and Order of the
				Chief Archivist of Lithuania On
				Rules in the Reading Rooms at
				State Archives, Order VE-64,
				Article 15, which allows to
				order up to 10 files, in practice,
				huge criminal files of
				investigations by former
				KGB/NKVD/MGB containing
				more than 10 files are accessible
				for researches. E.g. one so-called
				criminal file ('delo') regarding
				investigation of specific
				Freedom fighter, usually
				contains data about several
				persons/fighters, their activities,
				interconnections etc., all
				information is overlapped, so
				one's fighter's file ('delo') can
				encompass the investigation and
				procedures conducted by KGB
				of a huge web of underground activities after occupation of
				Lithuania. So a researcher
				usually is provided with all
				criminal file in corpora despite
				officially permitted 10 files.
3.19	3	A researcher can submit online requests for the	Answer:	
		files that can be picked up in the reading room	a)	https://eais-pub.archyvai.lt/eais/;
		after a pre-determined period:	Score: 3	This option is submitted due
				This option is submitted due above mention E-Government
		a) This option is available $-1$		<u>Gateway service or e-mail.</u>
		b) This option is unavailable – 0		Gateway service of e-mail.

3.20	2	<ul> <li>The cost of copying<sup>2</sup> one page of a record (in a standard time limit) is:</li> <li>a) 0%-0.09% of the average wage in the country - 1</li> <li>b) 0.1% - 0.19% - 0.75</li> <li>c) 0.2% and more - 0.25</li> </ul>	Answer: a) Score: 2	Order of the Minister of Culture of the Republic of Lithuania, Article 2 (February 20, 2017; No. ĮV-377) https://www.e- tar.lt/portal/en/legalAct/4537a81 Ofe6b11e68034be159a964f47
3.21	2	<ul> <li>The cost of copying<sup>3</sup> one photo (in a standard time limit) is:</li> <li>a) 0%-0.49% of the average wage in the country - 1</li> <li>b) 0.5%-1.49% - 0.75</li> <li>c) 1.5% and more - 0.25</li> </ul>	Answer: b) Score: 1.5	Order of the Minister of Culture of the Republic of Lithuania, Article 2.7.1 (February 20, 2017; No. ĮV-377) https://www.e- tar.lt/portal/en/legalAct/4537a81 0fe6b11e68034be159a964f47
3.22	3	<ul> <li>Discounts defined by the law or the subordinate legal act on the paid archival services in the reading room apply to:</li> <li>1) Persons with disabilities;</li> <li>2) War veterans and persons with similar status;</li> <li>3) Rehabilitated victims of repressions;</li> <li>4) Internally displaced individuals / refugees;</li> <li>5) Socially vulnerable persons;</li> <li>6) School students;</li> <li>7) University students;</li> <li>8) Pensioners;</li> <li>9) Persons with academic degrees.</li> <li>a) Discounts apply to all 9 groups – 1</li> <li>b) Discounts apply only to 6-8 groups – 0.75</li> <li>c) Discounts apply only to 4-5 groups – 0.5</li> <li>d) Discounts apply only to 1-3 groups – 0.25</li> <li>e) The Archive does not offer any discounts – 0</li> </ul>	Answer: b) Score: 2.25	Order of the Minister of Culture of the Republic of Lithuania, Article 13 (February 20, 2017; No. ĮV-377) https://www.e- tar.lt/portal/en/legalAct/4537a81 Ofe6b11e68034be159a964f47
3.23	3	Discounts defined by the law or the subordinate legal act on the paid archival services in the reading room apply equally to domestic and foreign citizens:	Answer: d) Score: 0	Order of the Minister of Culture of the Republic of Lithuania, Article 13 (February 20, 2017; No. IV-377) https://www.e-

<sup>&</sup>lt;sup>2</sup>If the prices of the copies of the documents of different periods of time differ significantly, the appraiser should take the average value.

<sup>&</sup>lt;sup>3</sup>If prices of the copies made from negatives and postives differ, the appraiser should take the average value.

		<ul> <li>a) Discounts apply equally - 1</li> <li>b) Discounts apply to only those foreign citizens, who have a status of a student, academic degree or a person with disabilities - 0.75</li> <li>c) Discounts apply to only those foreign citizens, who have a temporary residence or work permit - 0.5</li> <li>d) Discounts do not apply to foreign citizens - 0</li> </ul>		tar.lt/portal/en/legalAct/4537a81 0fe6b11e68034be159a964f47
3.24	2	Researchers can use stationary computers in the Archive reading room:         a) Yes – 1       b) No – 0	Answer: a) Score: 2	Order of the Chief Archivist of Lithuania On Rules in the Reading Rooms at State Archives, Order VE-64, Article 5, Paragraph 20.1 (September 12, 2018)
3.25	2	Researchers are allowed to use their own electronic devices for processing and storing information (computers, tablets, flash drives, external hard drives) in the Archive reading room:a) Yes - 1 b) No - 0	Answer: a) Score: 2	Order of the Chief Archivist of Lithuania On Rules in the Reading Rooms at State Archives, Order VE-64, Article 5, Paragraph 20.3 (September 12, 2018)
3.26	1	The Archive reading room has internet access:         a) Yes – 1         b) No – 0	Answer: a) Score: 1	There is no scpecial law or order to provide internet access, but in practice all memory institutions have internet access.
3.27	2	If the Archive has a microfilm collection a microfilm reader is available for use in the reading room:a) The Archive offers a microfilm reader – 1b) The Archive has a microfilm collection, but does not offer a microfilm reader – 0	Answer: a) Score: 2	Order of the Chief Archivist of Lithuania On Rules in the Reading Rooms at State Archives, Order VE-64, Articles 45, Paragraph 20.3 (September 12, 2018)
3.28	2	<ul> <li>Working conditions with the microfilms at the reading room:</li> <li>1) The Archive offers the possibility to save the microfilm files in PDF format for free;</li> <li>2) The Archive allows to take pictures from the microfilm files (screen);</li> </ul>	Answer: c) Score: 1	Description of Public Services at Lihuanian Special Archives, Paragraph 14 (June 26, 2019, No. (1.28) V6-166)

3.29	4	<ul> <li>3) The Archive allows to print the microfilm files for a fee;</li> <li>a) The Archive offers all 3 services – 1</li> <li>b) The Archive offers 1 or 2 services (where service N1 is necessarily included) – 0.75</li> <li>c) The Archive offers both services N2 and N3 – 0.5</li> <li>d) The Archive offers only 1 service N2 or service N3 – 0.25</li> <li>e) The Archive does not offer any of the mentioned services – 0</li> <li>Photographing of records in the Archive reading room is allowed using the researcher's own copying devices (photo camera, cell phone, portable scanpar):</li> </ul>	Answer: a) Score: 4	Order of the Director of Lithuanian Special Archives, Order No.V1-69, Paragraph 2 (26 August 2015)
		<ul> <li>portable scanner):</li> <li>a) Allowed and free of charge – 1</li> <li>b) Allowed but not free of charge – 0.25</li> <li>c) Photographing of records using the researcher's own devices is prohibited – 0</li> </ul>		<pre>(26 August, 2015 ) https://www.archyvai.lt/lt/lya_t eisine- informacija/lya_teises_aktai.htm l; There are special rules of Special Archives for individuals, e. g., to take photographs is allowed only with a permission; it is allowed to take photos only in special area, and it is highly recommend to take photos no more than 1 our per day, researcher should let other persons to take photos at reading room etc.</pre>
3.30	2	After the copies of records are ordered a researcher has to wait for: a) 0-24 hours – 1 b) 1-2 working days – 0.75 c) 3-4 working days – 0.5 d) 5 working days or more – 0.25	Answer: a) Score: 2	Order of the Chief Archivist of Lithuania On Rules in the Reading Rooms at State Archives, Order VE-64, Articles 45, Paragraph 16.4 (September 12, 2018)
3.31	2	<ul> <li>Number of record copies a researcher can order simultaneously:</li> <li>a) Unlimited (within reasonable limits) – 1</li> <li>b) 51-100 scanned pages – 0.75</li> <li>c) 21-50 scanned pages – 0.5</li> <li>d) 1-20 scanned pages – 0.25</li> </ul>	Answer: a) Score: 2	It is not defined neither by Order of the Chief Archivist of Lithuania nor by the Head of Lithuanian Special Archives

3.32	4	<ul> <li>In case the archive refuses a researcher access to the damaged record or file:</li> <li>a) The Archive offers the researcher a scanned copy of the record or file – 1</li> <li>b) The Archive places the record or file in the list of 'records to be restored' and informs the researcher about the date of restoration – 0.75</li> <li>c) The Archive does not provide information about the condition of the record or the file and restoration date – 0</li> </ul>	Answer: a) Score: 4	Order of the Chief Archivist of Lithuania On Accessibility of Damaged Documents (March 9, 2011; V-99) Law on Documents and Archives, Parliament of the Republic of Lithuania, Article 4, Paragraph 20, Point 6 (March 30, 2004, IX- 2084); It should be taken to consideration that digitasion of archives' material is ongoing process, so damaged files or scanned copy could be also denied because of improvement of quality of future services.
3.33	4	<ul> <li>The Archive has a list of damaged records or files that cannot be provided and the researchers are informed about it beforehand:</li> <li>a) The Archive has such a list and provides it to the researchers – 1</li> <li>b) The Archive has such a list but does not provide it to the researchers – 0.5</li> <li>c) The Archive does not have such a list – 0</li> </ul>	Answer: b) Score: 2	Article 15, On Rules Regarding Accessibility of Deteriotated Documents (March 9, 2011, No. V-99) https://www.e- tar.lt/portal/lt/legalAct/TAR.C515 84312F06; The list is published and updated on Archives' website http://www.archyvai.lt/lt/skaity klos/lya_blogos_bukles_dokume ntai.html
3.34	4	The period of time defined by the subordinate legal act for the restoration of the damaged records or files is: a) 1 year or less - 1 b) more than 1 year - 0.5 c) Is not defined - 0	Answer: c) Score: 0	Order of the Chief Archivist of Lithuania No. VE-74 On Restoration, Preservatation and Binding of Deteriorated Documents, Paragraph 2 (September 27, 2018) https://www.e- tar.lt/portal/lt/legalAct/4405e330 c23211e88f64a5ecc703f89b; It should be mention that legal and administrative order of restoration of documents is centralized in the system of Lithuanian Archives under the Office of Chief Archivist of Lithuania. All procedures of preservation, restoration or binding are strictly regulated. Hence, a certain institution has to consider a damage and degree of deterioration the document or file has suffered. There are 3 confirmed categories according

3.35	1	<ul> <li>Individuals that are unable to visit the archive personally can hire a proxy researcher:</li> <li>a) The Archive has its own proxy researchers or can provide contacts of private proxy researchers – 1</li> <li>b) The Archive does not provide such a service – 0</li> </ul>	Answer: a) Score: 1	which the process of restoration, preservation or binding should be implemented. The qualitative variable in this process of restoration is crucial. Order of the Minister of Culture of the Republic of Lithuania, Article 1, Paragraph 1-2 (February 20, 2017; No. ĮV-377) https://www.e- tar.lt/portal/lt/legalAct/4537a810 fe6b11e68034be159a964f47; It should be mention that such a service has fixed sum of money which depends of a historical period or theme the individual is interested. Also, this service is provided according the Decision of the Government of the Republic of Lithuania (August 22, 2007; No. 875) which regulates rules and terms of public services at state
				public services at state institutions.
Maxi	mum amount	of points to receive in benchmark indicator group	N3: 100	1
		elevant to the current archive: <b>100</b>	110. 100	
	ved points: <b>82</b>			
	ntage (%): <b>82</b>			
reice	mage (%0): <b>62</b>	J7U		

Maximum points to receive in all benchmark indicator groups:	252
Maximum amount of points relevant to the current archive:	252
Overall received points:	209.75
Percentage of overall openness:	83.23%

Evaluator's Name and Surname: Vytautas Starikovičius

Organization: Vilnius University, Department of History

Evaluation finished on: 01.05.2020